

CHAPTER 5

Finite Automata

Deterministic Finite Automata (DFA)

- In computer science, we study different types of computer languages, such as Basic, Pascal, and C++.
- We will discuss a type of a language that can be recognized by special types of machines.
- A deterministic finite automaton (pl. automata) is a mathematical model of a machine that accepts languages of some alphabet.

Deterministic Finite Automata (DFA) (cont'd)

- Deterministic Finite Automaton is a quintuple $M = \{ S, I, q_0, f_s, F \}$ where,
 - S is a finite nonempty set of states
 - I is the input alphabet (a finite nonempty set of symbols)
 - q_0 is the initial state
 - f_s is the state transition function
 - F is the set of final states, subset of S .

Note:

Tuple: is an ordered list of elements.

Quintuple: five times as much in size; e.g., $M = \{ S, I, O, q_0, f_s \}$;

sextuple: six times as much in size, e.g., $M = \{ S, I, O, q_0, f_s, f_0 \}$.

Example 1

- Let $M = \{ \{q_0, q_1, q_2\}, \{0, 1\}, q_0, f_s, \{q_2\} \}$

where f_s is defined as follows:

$$\begin{array}{ll}
 f_s(q_0, 0) = q_1, & f_s(q_1, 1) = q_2 \\
 f_s(q_0, 1) = q_0, & f_s(q_2, 0) = q_0 \\
 f_s(q_1, 0) = q_2, & f_s(q_2, 1) = q_1
 \end{array}$$

- Note that for M :
 $S = \{q_0, q_1, q_2\}$, $I = \{0, 1\}$, $F = \{q_2\}$
 q_0 is the initial state

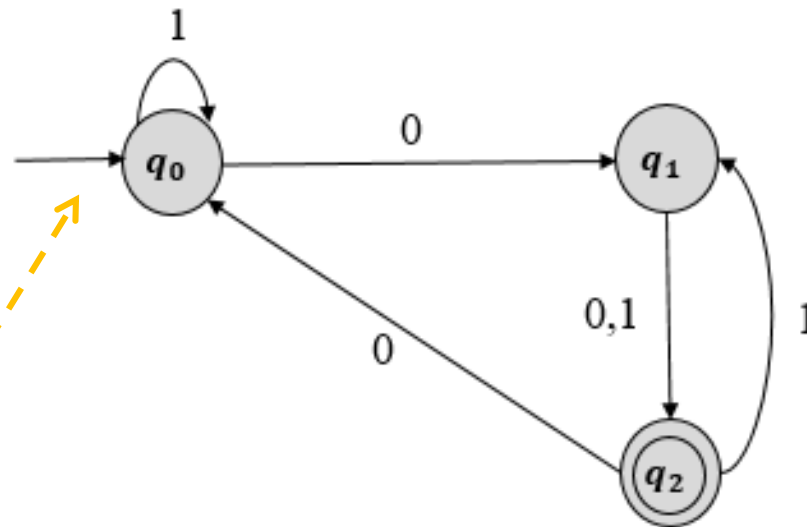
Example 1 (cont'd)

- The state transition function of a DFA is often described by means of a table, called a **transition table**.

f_s	0	1
q_0	q_1	q_0
q_1	q_2	q_2
q_2	q_0	q_1

Example 1 (cont'd)

The transition diagram of this DFA is,



Each **state** represented by a small circle labeled with the state

Initial state with incoming unlabeled arrow not originating from any vertex

Final state with a double circle

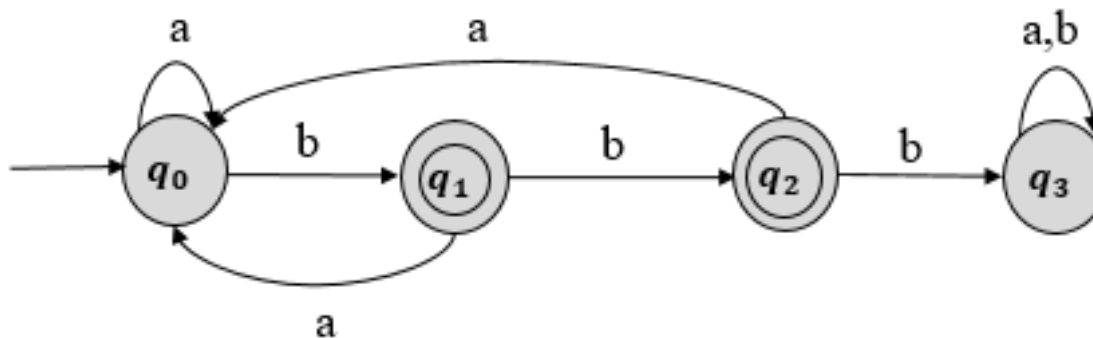
Example 2

Let $M = (\{q_0, q_1, q_2, q_3\}, \{a, b\}, q_0, f_s, \{q_1, q_2\})$
 where f_s is given by the table

f_s	a	b
q_0	q_0	q_1
q_1	q_0	q_2
q_2	q_0	q_3
q_3	q_3	q_3

Example 2 (cont'd)

The transition diagram of this DFA is,

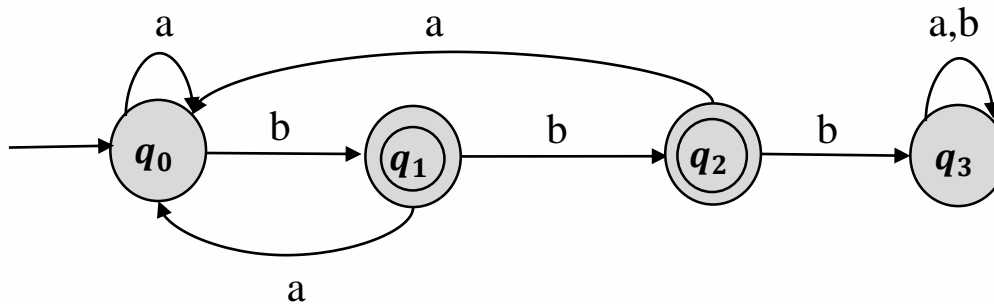


DFA with Extended Transition Function for M

- Let $M = \{S, I, q_0, f_s, F\}$ be a DFA and w is an input string,
- w is said to be accepted by M if
$$f_s^*(q_0, w) \in F$$
- f_s^* - extended transition function for M


Example 3

Determine whether the input string **abb** is accepted by machine, M



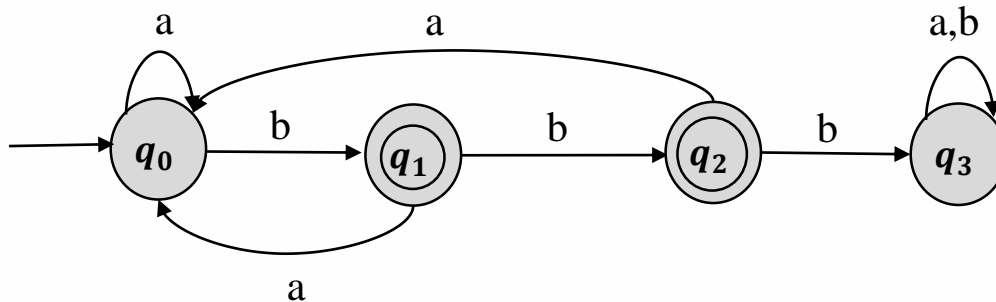
Solution:

$w = abb$

$q_0 \xrightarrow{a} q_0 \xrightarrow{b} q_1 \xrightarrow{b} q_2$
 : Accepted by M

Example 4

Determine whether the input string **abba** is accepted by machine, M

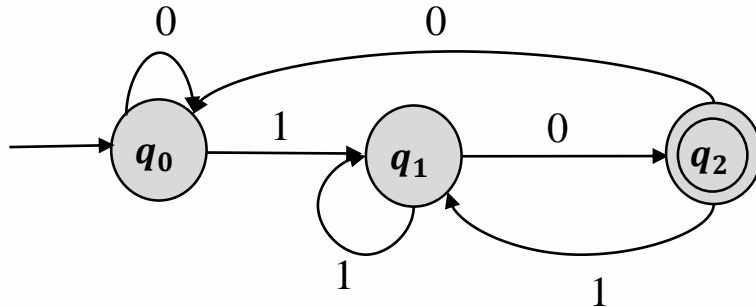


Solution:

$w = abba$

$q_0 \xrightarrow{a} q_0 \xrightarrow{b} q_1 \xrightarrow{b} q_2 \xrightarrow{a} q_0$: Not accepted by M

Example 5



Based on the above transition diagram of a DFA, answer the following questions.

- i) What are the states of M ?
- ii) Write the set of input symbols.
- iii) Which is the initial state?
- iv) Write the set of final states.
- v) Write the transition table for this DFA.

Example 5 - Solution

- i) $S = \{q_0, q_1, q_2\}$
- ii) $I = \{0, 1\}$
- iii) Initial state = q_0
- iv) Final state, $F = \{q_2\}$
- v) State transition table:

f_s	0	1
q_0	q_0	q_1
q_1	q_2	q_1
q_2	q_0	q_1

Example 6

Based on the DFA in Example 5, which of the given strings are accepted by M ?

- i) 0111010
- ii) 00111
- iii) 111010
- iv) 0100
- v) 1110

Example 6 – Solution

i) **0111010**

$$q_0 \xrightarrow{0} q_0 \xrightarrow{1} q_1 \xrightarrow{1} q_1 \xrightarrow{0} q_2 \xrightarrow{1} q_1 \xrightarrow{0} q_2$$

accepted by M

ii) **00111**

$$q_0 \xrightarrow{0} q_0 \xrightarrow{0} q_0 \xrightarrow{1} q_1 \xrightarrow{1} q_1 \xrightarrow{1} q_1$$

not accepted by M

Example 6 – Solution (cont'd)

iii) 111010

$$q_0 \xrightarrow{1} q_1 \xrightarrow{1} q_1 \xrightarrow{1} q_1 \xrightarrow{0} q_2 \xrightarrow{1} q_1 \xrightarrow{0} q_2$$

accepted by M

iv) 0100

$$q_0 \xrightarrow{0} q_0 \xrightarrow{1} q_1 \xrightarrow{0} q_2 \xrightarrow{0} q_0$$

not accepted by M

Example 6 – Solution (cont'd)

v) 1110

$q_0 \xrightarrow{1} q_1 \xrightarrow{1} q_1 \xrightarrow{1} q_1 \xrightarrow{0} q_2$

accepted by M

Example 7

Construct a state transition diagram of a DFA that accepts on $\{a,b\}$ that contain an even number of a's and an odd number of b's.

Example of accepted strings:
aab, baa, baaabba

Example 7 - Solution

4 states,

q_0	even num. of a's & even num. of b's.
q_1	even num. of a's & odd num. of b's.
q_2	odd num. of a's & odd num. of b's.
q_3	odd num. of a's & even num. of b's.

set of states, $S = \{q_0, q_1, q_2, q_3\}$

set of input symbols, $I = \{a, b\}$

initial state, q_0

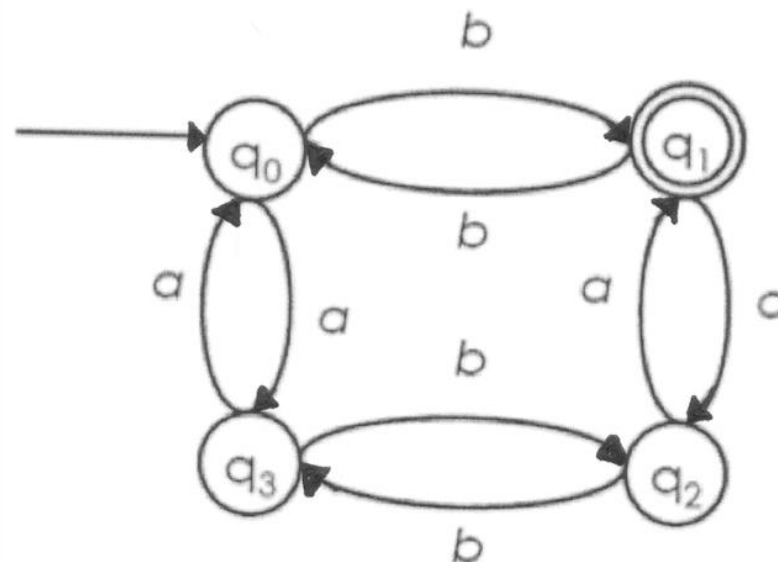
final state, q_1

Example 7 - Solution

State transition function

f_s	a	b
q_0	q_3	q_1
q_1	q_2	q_0
q_2	q_1	q_3
q_3	q_0	q_2

State transition diagram



Exercise #1

Let $M=(S, I, q_0, f_s, F)$ be the DFA such that $S=\{q_0, q_1, q_2\}$, $I=\{a, b\}$, $F=\{q_2\}$, q_0 =initial state, and f_s is given by,

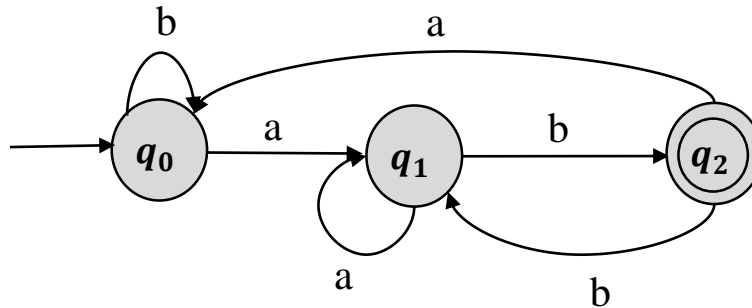
f_s	a	b
q_0	q_0	q_1
q_1	q_2	q_1
q_2	q_2	q_0

Draw the state diagram of M .

Which of the strings
 abaa, bbbabb, bbbaa dan bababa
 are accepted by M ?

Exercise #2

The transition diagram of M is,



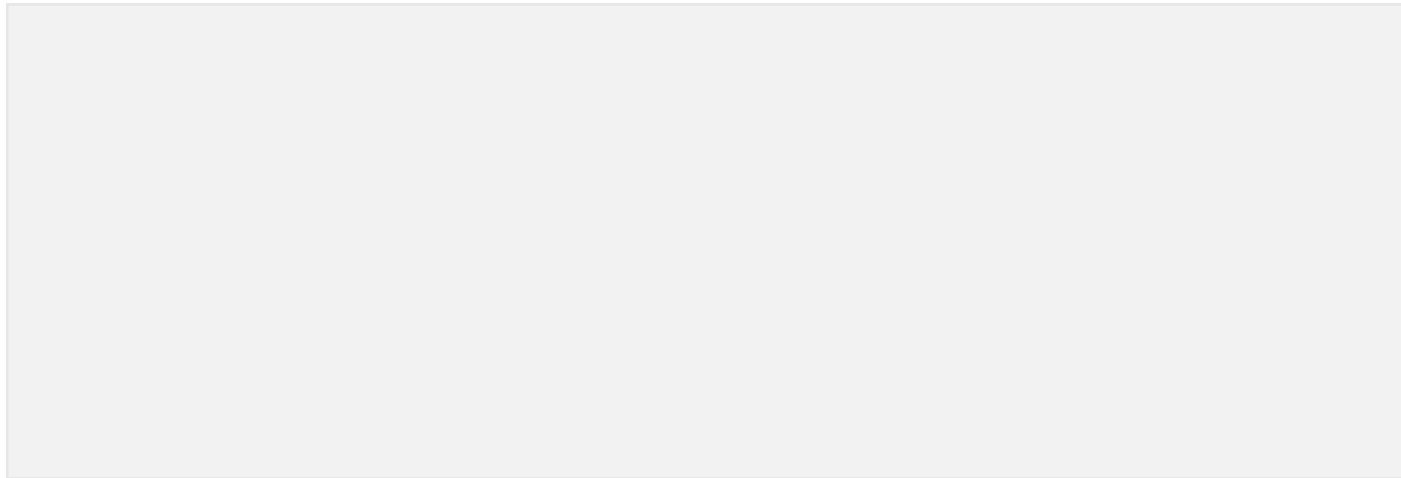
Construct the transition table of M .

Which of the strings

baba, baab, abab dan abaab
are accepted by M ?

Exercise #3

Construct a state transition diagram of a DFA M with the input set $\{0,1\}$ such that M accepts only the string 101.



Finite State Machines (FSM)

- Automata with input as well as output.
- Every state has an input and corresponding to the input the state also has an output.
- These types of automata are commonly called **finite state machines**.

Finite State Machines (FSM) (cont'd)

- A finite state machine is a sextuple,
 $M = \{ S, I, O, q_0, f_s, f_o \}$
where,
 S is a finite nonempty set of states
 I is the input alphabet
 O is the output alphabet
 q_0 is the initial state
 f_s is the state transition function
 f_o is the output function.

Example 1

- Let $M = \{S, I, O, q_0, f_s, f_o\}$ be the FSM

- where,

$S = \{q_0, q_1, q_2\},$

$I = \{a, b\},$

$O = \{0, 1\},$

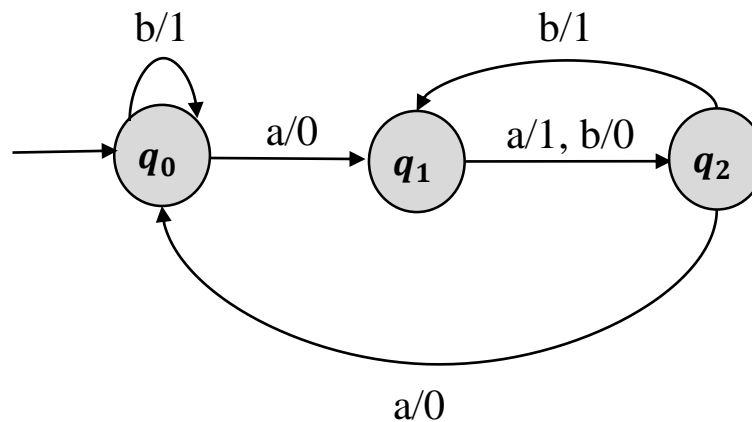
$q_0 = \text{initial state},$

- The f_s and f_o are \Rightarrow

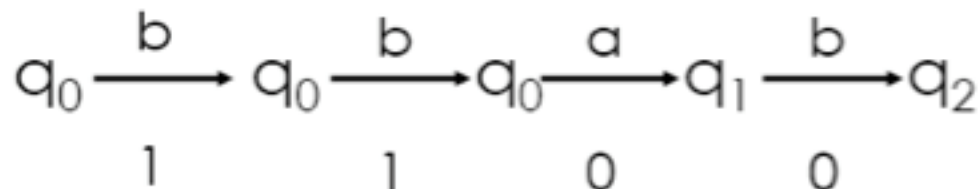
	f_s		f_o	
	a	b	a	b
q_0	q_1	q_0	0	1
q_1	q_2	q_2	1	0
q_2	q_0	q_1	0	1

Example 1 (cont'd)

- The transition diagram:



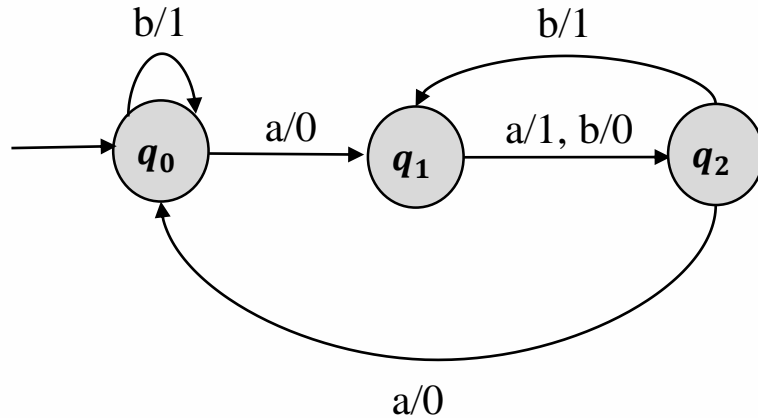
Input string: bbab



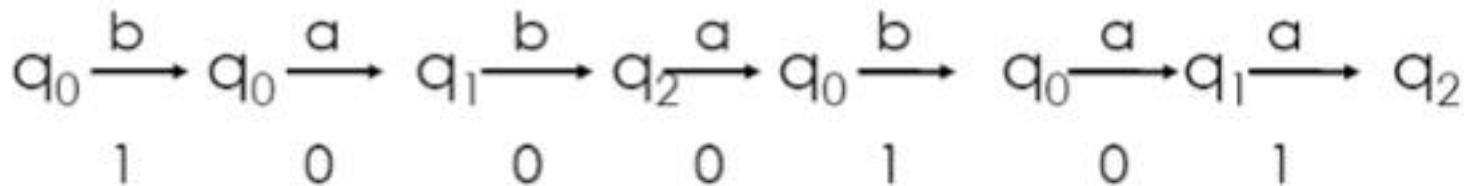
Output string: 1100

Output: 0

Example 1 (cont'd)



Input string: bababaa



Output string: 1000101

Output: 1

Example 2

- Let $M = \{S, I, O, q_0, f_s, f_o\}$ be the FSM

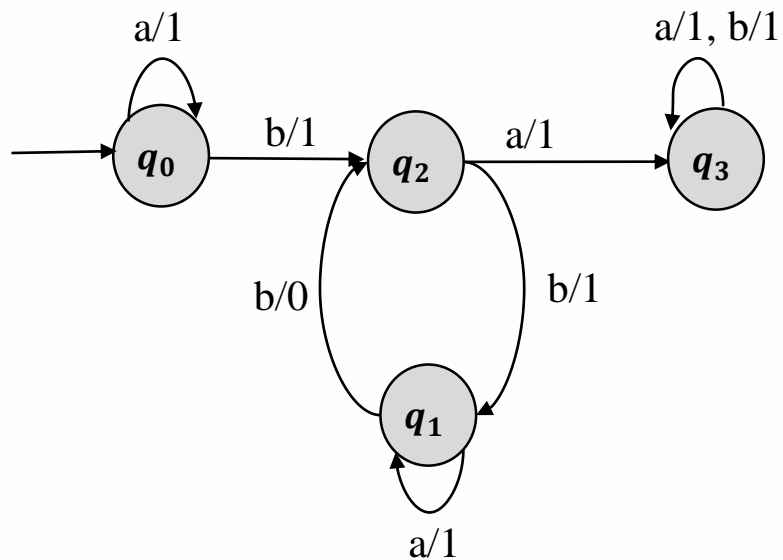
- where,
 $S = \{q_0, q_1, q_2, q_3\}$,
 $I = \{a, b\}$,
 $O = \{0, 1\}$,
 q_0 = initial state,

- f_s and f_o

	f_s		f_o	
	a	b	a	b
q_0	q_0	q_2	0	1
q_1	q_1	q_2	1	0
q_2	q_3	q_1	1	1
q_3	q_3	q_3	1	1

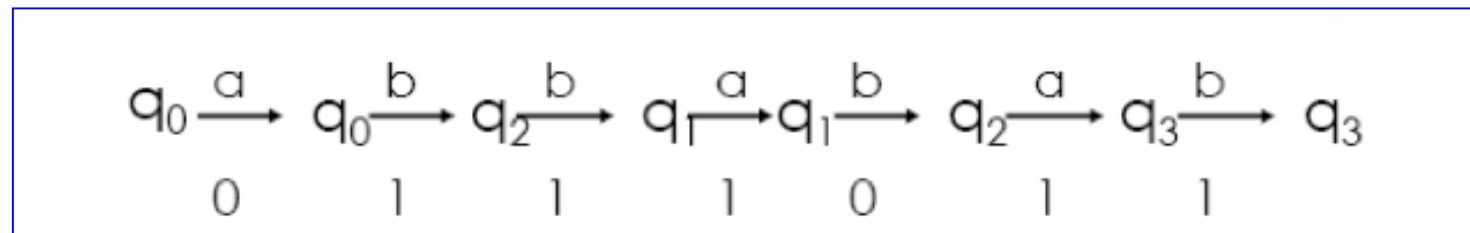
Example 2 (cont'd)

- Draw the transition diagram of M .



Example 2 (cont'd)

- What is the output string if the input string is *abbabab*?



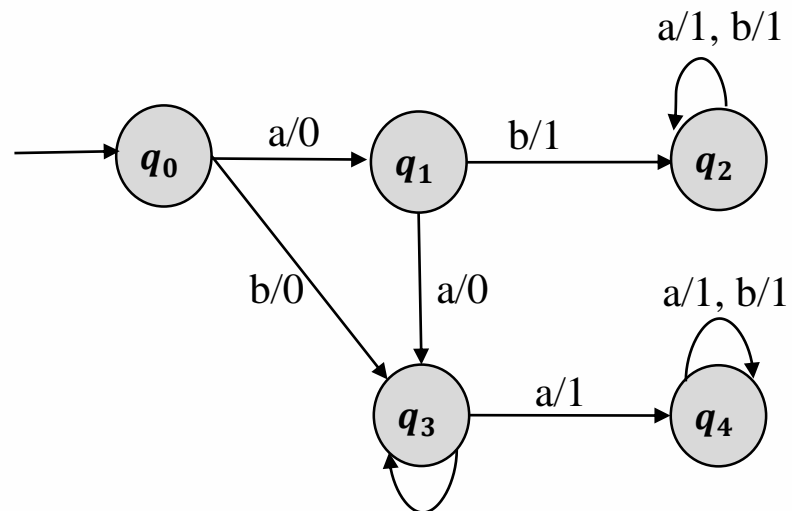
Output strings : **0111011**

Output: **1**

Example 3

- Let M be a FSM.
- Let x be a nonempty string in M .
- We say that x is accepted by M if and only if the output of x is 1.

State transition diagram



Example 3 (cont'd)

Based on the given information, answer the following:

- Write the transition table of M .
- What is the output string if the input string is *aaabbbb*?
- What is the output if the input string is *bbbaaaa*?
- Is the string *aaa* accepted by M ?
- Which of the strings *ba*, *aabbba*, *bbbb*, *aaabbbb* are accepted by M ?

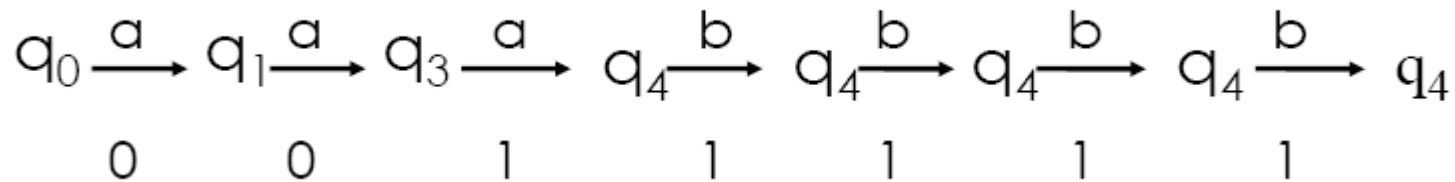
Example 3 – Solution (cont'd)

- The transition table of M .

	f_s		f_o	
	a	b	a	b
q_0	q_1	q_3	0	0
q_1	q_3	q_2	0	1
q_2	q_2	q_2	1	1
q_3	q_4	q_3	1	0
q_4	q_4	q_4	1	1

Example 3 – Solution (cont'd)

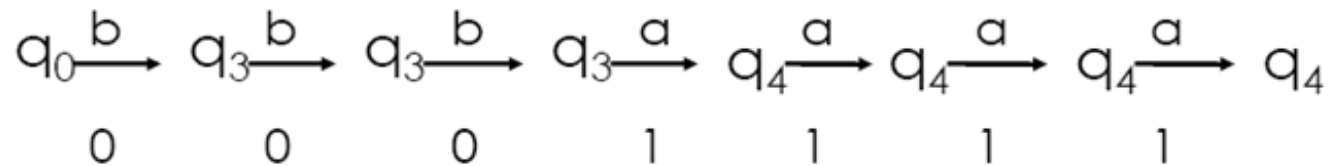
- What is the output string if the input string is **aaabbbb**?



Output strings : **0011111**

Example 3 (cont'd)

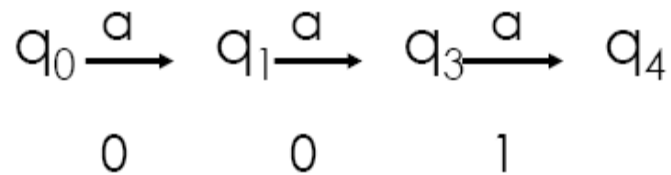
- What is the output if the input string is **bbbbaaaa**?



Output: **1**

Example 3 (cont'd)

- Is the string **aaa** accepted by M ?



Output strings : **001**

Output: **1**

Accepted by M

Example 3 (cont'd)

- Which of the strings **ba**, **aabbba**, **bbbb**, **aaabbbb** are accepted by M ?

$$\text{i) } q_0 \xrightarrow[b]{b} q_3 \xrightarrow[1]{a} q_4$$

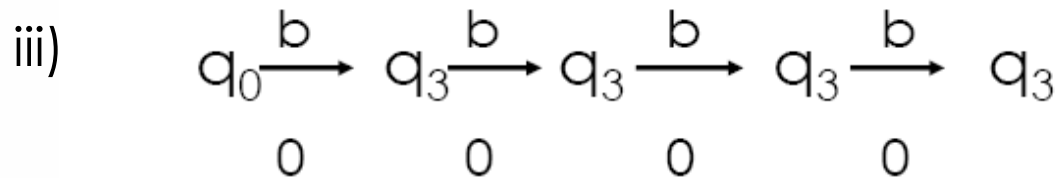
Output: **1, accepted by M**

$$\text{ii) } q_0 \xrightarrow[0]{a} q_1 \xrightarrow[0]{a} q_3 \xrightarrow[0]{b} q_3 \xrightarrow[0]{b} q_3 \xrightarrow[1]{a} q_4$$

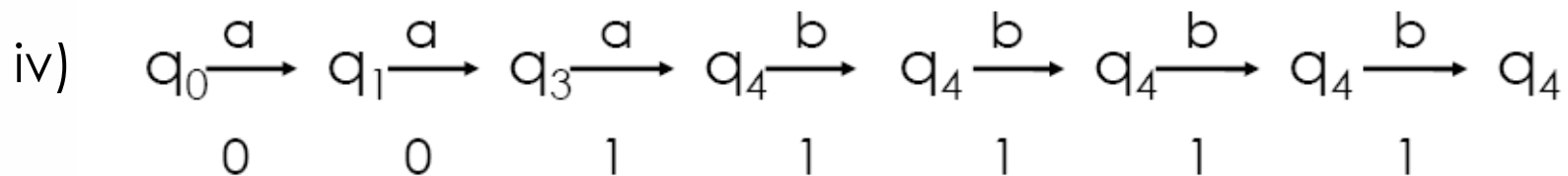
Output: **1, accepted by M**

Example 3 (cont'd)

- Which of the strings **ba**, **aabbba**, **bbbb**, **aaabbbb** are accepted by M ?



Output: **0**, not accepted by M



Output: **1**, accepted by M

Example 4

Consider a vending machine that sells candy and the cost of a candy is 50 cents. The machine accepts any sequence of 10, 20, or 50 cent coins.

After inserting at least 50 cents, the customer can press the button to release the candy. If the customer inputs more than 50 cents, the machine does not return the change.

After selling the candy, the machine returns to initial state.

Construct a finite state machine that models this vending machine.

Example 4 – Solution

1. List the states, S :

States,

q_0 , initial state (0)

q_1 , 10 cents

q_2 , 20 cents

q_3 , 30 cents

q_4 , 40 cents

q_5 , ≥ 50 cents

Example 4 – Solution (cont'd)

2. List the elements of M :

$$S = \{q_0, q_1, q_2, q_3, q_4, q_5\},$$

$$I = \{10, 20, 50, B\},$$

$$O = \{0, 1\},$$

q_0 = initial state,

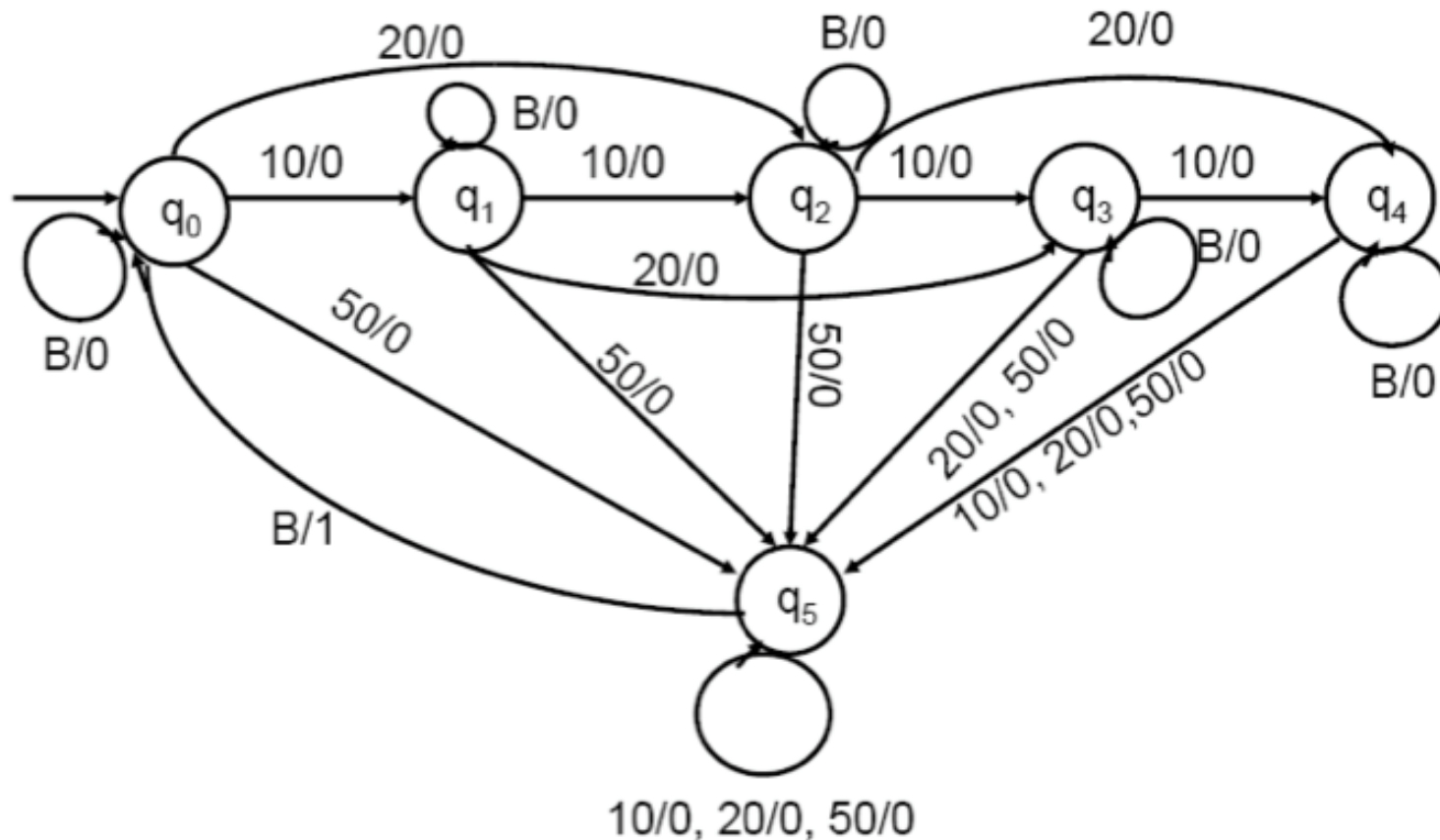
Example 4 – Solution (cont'd)

3. List the f_s and f_o :

	f_s				f_o			
	10	20	50	B	10	20	50	B
q_0	q_1	q_2	q_5	q_0	0	0	0	0
q_1	q_2	q_3	q_5	q_1	0	0	0	0
q_2	q_3	q_4	q_5	q_2	0	0	0	0
q_3	q_4	q_5	q_5	q_3	0	0	0	0
q_4	q_5	q_5	q_5	q_4	0	0	0	0
q_5	q_5	q_5	q_5	q_0	0	0	0	1

Example 4 – Solution (cont'd)

4. Draw the transition diagram of M :



Exercise #4

Let $M = \{S, I, O, q_0, f_s, f_o\}$ be a FSM
 where,

$S = \{q_0, q_1, q_2\}$,

$I = \{a, b\}$,

$O = \{0, 1\}$,

q_0 = initial state,

f_s and f_o

	f_s		f_o	
	a	b	a	b
q_0	q_2	q_1	1	1
q_1	q_2	q_2	0	0
q_2	q_1	q_2	1	1

- Draw the transition diagram of M .
- What is the output string if the input string is *aabbb*?
- What is the output string if the input string is *ababab*?
- What is the output if the input string is *abbbaba*?
- What is the output if the input string is *bbbababa*?

Exercise #5

Let $M = (\{q_0, q_1, q_2, q_3, q_4, q_5\}, \{a, b, c\}, q_0, f_s, \{q_1, q_3, q_5\})$ be the Deterministic Finite Automaton (DFA) with state transition function, f_s defined as follows:

$$f(q_0, a) = q_1 \quad f(q_0, b) = q_0 \quad f(q_0, c) = q_0$$

$$f(q_1, a) = q_1 \quad f(q_1, b) = q_2 \quad f(q_1, c) = q_1$$

$$f(q_2, a) = q_2 \quad f(q_2, b) = q_3 \quad f(q_2, c) = q_4$$

$$f(q_3, a) = q_3 \quad f(q_3, b) = q_3 \quad f(q_3, c) = q_3$$

$$f(q_4, a) = q_4 \quad f(q_4, b) = q_5 \quad f(q_4, c) = q_4$$

$$f(q_5, a) = q_5 \quad f(q_5, b) = q_5 \quad f(q_5, c) = q_5$$

- Draw the transition table for the above machine.
- Determine the final state for the input string $abcc$.
- Is the input string $abcb$ accepted by the DFA?

Exercise #6

Let $A = (S, I, O, Z, f, g)$ be a finite state machine (FSM) defined by the transition table shown in Table 1.

Table 1: Transition table of FSM A

Input State	f			g		
	a	b	c	a	b	c
X	Z	X	Y	1	0	1
Y	X	X	Z	0	1	0
Z	Y	X	Z	1	0	1

- Draw the transition diagram of the finite state machine A .
- Find the output string for the input string $babccaab$.
- Find the output generated from the input string $cabcccba$.
- Determine whether the input string $abcbcbcabcc$ is accepted.

Exercise #7

A sliding barrier turnstile (shown in Figure 1), used to control access to subways, is an automated gate at waist height with a barrier across the entryway. Initially the barrier is locked, barring the entry, preventing passengers from passing through. Depositing a token in a slot on the turnstile unlocks the barrier, allowing a single customer to push through. After the customer passes through, the barrier is locked again until another coin is inserted.



Figure 1

Considered as a state machine, the turnstile has two states: **Locked** and **Unlocked**. There are two inputs that affect its state: putting a token in the slot (**token**) and retract the barrier (**retract**). In the locked state, retracting the arm has no effect; no matter how many times the input **retract** is given, it stays in the locked state. Putting a **token** as an input, shift the state from **Locked** to **Unlocked**. In the unlocked state, putting additional tokens does not change the state. However, a customer passing through the retracted barrier, giving a **retract** input, shifts the state back to **Locked**.

Given

State

L: Locked

U: Unlocked

Input

T: Token

R: Retract

Output

0: Nothing happened

1: Retract the barrier so passenger can pass through

2: Lock the barrier when passenger has passed through

Exercise #7 (cont'd)

(a) Complete the transition table below.

Table 1

State	Input, f_s		Output, f_o	
	T	R	T	R
L				
U				

(b) Draw the transition diagram for the turnstile system described above. |