


SCSI1013: Discrete Structures

## CHAPTER 1

### SET THEORY

#### [Part 1: Set & Subset]


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## Introduction

- Why are we studying sets
  - The concept of set is basic to all of mathematics and mathematical applications.
  - Serves as a basis of description of higher concept and mathematical reasoning
  - Set is fundamental in many areas of Computer Science.
- For us, sets are useful to understand the principles of *counting and probability theory*


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## Sets

- The concept of set is basic to all of mathematics and mathematical applications.
- A set is a *well-defined collection of distinct objects*.
- These objects are called *members* or *elements* of the set.


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## Sets


- Well-defined means that we can tell for certain whether an object is a member of the collection or not.
- If a set is finite and not too large, we can describe it by listing the elements in it.

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 **Example**


- A is a set of all positive integers less than 10,  
 $A = \{1, 2, 3, 4, 5, 6, 7, 8, 9\}$
- B is a set of first 5 positive odd integers,  
 $B = \{1, 3, 5, 7, 9\}$
- C is a set of vowels,  $C = \{a, e, i, o, u\}$

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 **Defining Sets**


- This can be done by:
  - Listing ALL elements of the set within braces.
  - Listing enough elements to show the pattern then an ellipsis.
  - Use set builder notation to define “rules” for determining membership in the set.

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 **Example**

1. Listing ALL elements.  $A = \{1, 2, 3, 4\}$  explicitly
2. Demonstrating a pattern.  $\mathbb{N} = \{1, 2, 3, \dots\}$  implicitly
3. Using set builder notation.  $P = \{x \mid x \in \mathbb{R} \text{ and } x \notin \mathbb{C}\}$  implicitly

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 **Sets**

- A set is determined by its elements and not by any particular order in which the element might be listed.
- **Example,**  
 $A = \{1, 2, 3, 4\}$ ,  
A might just as well be specified as  $\{2, 3, 4, 1\}$  or  $\{4, 1, 3, 2\}$

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**Sets**

- The elements making up a set are assumed to be distinct, we may have duplicates in our list, only one occurrence of each element is in the set.
- Example**  
 $\{a, b, c, a, c\} \rightarrow \{a, b, c\}$   
 $\{1, 3, 3, 5, 1\} \rightarrow \{1, 3, 5\}$

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**Sets**

- Use uppercase letters  $A, B, C \dots$  to denote sets, lowercase denote the elements of set.
- The symbol  $\in$  stands for 'belongs to'
- The symbol  $\notin$  stands for 'does not belong to'

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**Example**

- $X = \{a, b, c, d, e\}$ ,  $b \in X$  and  $m \notin X$
- $A = \{\{1\}, \{2\}, 3, 4\}$ ,  $\{2\} \in A$  and  $1 \notin A$

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**Sets**

- If a set is a large finite set or an infinite set, we can describe it by listing a property necessary for memberships
- Let  $S$  be a set, the notation,  
 $A = \{x \mid x \in S, P(x)\}$  or  $A = \{x \in S \mid P(x)\}$   
means that  $A$  is the set of all elements  $x$  of  $S$  such that  $x$  satisfies the property  $P$ .

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**Example**

- Let  $A = \{1, 2, 3, 4, 5, 6\}$ , we can also write  $A$  as,  

$$A = \{x \mid x \in \mathbb{Z}, 0 < x < 7\}$$
 if  $\mathbb{Z}$  denotes the set of integers.
- Let  $B = \{x \mid x \in \mathbb{Z}, x > 0\}$ ,  $B = \{1, 2, 3, 4, \dots\}$

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**Example**

The set of natural numbers:  $\mathbb{N} = \{1, 2, 3, \dots\}$   
 The set of integers:  $\mathbb{Z} = \{\dots, -3, -2, -1, 0, 1, 2, 3, \dots\}$   
 The set of positive integers:  $\mathbb{Z}^+ = \{1, 2, 3, \dots\}$

The set of Rational Numbers (fractions):  $\frac{1}{2}, \frac{2}{3}, \frac{5}{7}, \text{etc} \in \mathbb{Q}$

More formally:  $\mathbb{Q} = \left\{ \frac{a}{b} \mid a, b \in \mathbb{R}, b \neq 0 \right\}$

The set of Irrational Numbers:  $\sqrt{2}, \pi$ , or  $e$  are irrational  
 The Real numbers  $= \mathbb{R} =$  the union of the rational numbers with the irrational numbers

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**Some Symbols Used With Set Builder Notation**

The standard form of notation for this is called "set builder notation".  
 For instance,  $\{x \mid x \text{ is an odd positive integer}\}$  represents the set  $\{1, 3, 5, 7, 9, \dots\}$   
 $\{x \mid x \text{ is an odd positive integer}\}$  is read as  
 "the set consisting of all  $x$  such that  $x$  is an odd positive integer".  
 The vertical bar, " $\mid$ ", stands for "such that"  
 Other "short-hand" notation used in working with sets

" $\forall$ " stands for "for every"	" $\exists$ " stands for "there exists"
" $\cup$ " stands for "union"	" $\cap$ " stands for "intersection"
" $\subseteq$ " stands for "is a subset of"	" $\subset$ " stands for "is a (proper) subset of"
" $\not\subseteq$ " stands for "is not a (proper) subset of"	" $\emptyset$ " stands for the "empty set"
" $\in$ " stands for "is an element of"	" $\notin$ " stands for "is not an element of"
" $\times$ " stands for "cartesian cross product"	" $=$ " stands for "is equal to"

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**Subset**

- If every element of  $A$  is an element of  $B$ , we say that  $A$  is a subset of  $B$  and write  $A \subseteq B$ .

$$A=B, \text{ if } A \subseteq B \text{ and } B \subseteq A$$

- The empty set ( $\emptyset$ ) is a subset of every set.

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 **Example**

$A = \{1, 2, 3\}$

Subset of  $A$ ,  
 $\emptyset, \{1\}, \{2\}, \{3\}, \{1, 2\}, \{1, 3\}, \{2, 3\}, \{1, 2, 3\}$

**Note:**  
 $A$  is a subset of  $A$


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 **Exercise**

Answer true or false

a)  $\{x\} \subseteq \{x\}$   
 b)  $\{x\} \in \{x\}$   
 c)  $\{x\} \in \{x, \{x\}\}$   
 d)  $\{x\} \subseteq \{x, \{x\}\}$

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 **Proper Subsets**


If  $A \subseteq B$  and  $B$  contains an element that is not in  $A$ , then we say " $A$  is a **proper subset** of  $B$ ":  $A \subset B$  or  $B \supset A$ .

Formally:  $A \subseteq B$  means  $\forall x [x \in A \rightarrow x \in B]$ .

For all sets:  $A \subseteq A$ .

**Note:** If  $A$  is a subset of  $B$  and  $A$  does not equal  $B$ , we say that  $A$  is a **proper subset** of  $B$  ( $A \subseteq B$  and  $A \neq B$  ( $B \not\subseteq A$ ))


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 **Example**

- $A = \{1, 2, 3\}$
- Proper subset of  $A$ ,**  
 $\emptyset, \{1\}, \{2\}, \{3\}, \{1, 2\}, \{1, 3\}, \{2, 3\}$

**Note:**  
 A proper subset of a set  $A$  is a **subset** of  $A$  that is not equal to  $A$  ( $\{1, 2, 3\} \not\subset A$ )


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 **Example**

Let a set,  $B = \{1, 2, 3, 4, 5, 6\}$  and the subset,  
 $A = \{1, 2, 3\}$ .

$\Rightarrow$   $A$  is proper subset of  $B$ .


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 **Example**

$A = \{a, b, c, d, e, f, g, h\}$   
 $B = \{b, d, e\}$   
 $C = \{a, b, c, d, e\}$   
 $D = \{r, s, d, e\}$

- Proper subset of  $A$  ??  $B$  and  $C$

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 **Empty Sets**

The **empty set**  $\emptyset$  or  $\{\}$  **but not**  $\{\emptyset\}$   
 is the set without elements.

Note:

- Empty set has no elements
- Empty set is a subset of any set
- There is exactly one empty set
- Properties of empty set:  
 $A \cup \emptyset = A, A \cap \emptyset = \emptyset$   
 $A \cap A' = \emptyset, A \cup A' = U$   
 $U' = \emptyset, \emptyset' = U$


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 **Example**

$\emptyset = \{x \mid x \text{ is a real number and } x^2 = -3\}$

$\emptyset = \{x \mid x \text{ is positive integer and } x^3 < 0\}$

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## Equal Sets

The sets A and B are **equal** ( $A=B$ ) if and only if each element of A is an element of B and vice versa.

Formally:  $A=B$  means  $\forall x [x \in A \leftrightarrow x \in B]$ .

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## Example

$A=\{a, b, c\}, B=\{b, c, a\}, A=B$

$C=\{1, 2, 3, 4\}$

$D=\{x \mid x \text{ is a positive integer and } 2x < 10\},$   
 $C=D$

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## Exercise

Determine whether each pair of sets is equal

- $\{1, 2, 2, 3\}, \{1, 3, 2\}$
- $\{x \mid x^2 + x = 2\}, \{1, -2\}$
- $\{x \mid x \text{ is a real number and } 0 < x \leq 2\}, \{1, 2\}$

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## Equivalent Sets

Two sets, A and B, are **equivalent** if there exists a **one-to-one correspondence** between them.

When we say sets “have the same size”, we mean that they are **equivalent**.

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 **Example**

Set A: {A, B, C, D, E} and Set B: {1, 2, 3, 4, 5}

**Note:**

- An **equivalent set** is simply a **set** with an **equal** number of elements.
- The **sets** do not have to have the same exact elements, just the same number of elements.


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 **Finite Sets**

A set A is **finite**

if it is empty  
or  
if there is a natural number  $n$   
such that set A is equivalent to  
 $\{1, 2, 3, \dots, n\}$ .


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 **Example**

$A = \{1, 2, 3, 4\}$   
 $B = \{x \mid x \text{ is an integer, } 1 \leq x \leq 4\}$

**Note:**  
There exists a nonnegative integer  $n$  such that A has  $n$  elements (A is called a finite set with  $n$  elements)

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 **Infinite Sets**

A set A is **infinite**


if there is **NOT** a natural number  $n$  such that set A is equivalent to  $\{1, 2, 3, \dots, n\}$ .

Infinite sets are **uncountable**.  
**Are all infinite sets equivalent?**

A set is infinite if it is equivalent to a proper subset of itself!

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 **Example**

$Z = \{x \mid x \text{ is an integer}\}$   
 or  $Z = \{\dots, -3, -2, -1, 0, 1, 2, 3, \dots\}$

$S = \{x \mid x \text{ is a real number and } 1 \leq x \leq 4\}$

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 **Example**

$C = \{5, 6, 7, 8, 9, 10\}$  (*finite set*)

$B = \{x \mid x \text{ is an integer, } 10 < x < 20\}$  (*finite set*)


$D = \{x \mid x \text{ is an integer, } x > 0\}$  (*infinite set*)

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 **Universal Set**

- Sometimes we are dealing with sets all of which are subsets of a set  $U$ .
- This set  $U$  is called a universal set or a universe.
- The set  $U$  must be explicitly given or inferred from the context.

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 **Universal Set**

Typically we consider a set  $A$  a part of a **universal set**  $\mathcal{U}$ , which consists of all possible elements. To be entirely correct we should say

$$\forall x \in \mathcal{U} [x \in A \leftrightarrow x \in B]$$

instead of

$$\forall x [x \in A \leftrightarrow x \in B] \text{ for } A=B.$$

Note that  $\{x \mid 0 < x < 5\}$  is can be ambiguous. Compare  $\{x \mid 0 < x < 5, x \in \mathbb{N}\}$  with  $\{x \mid 0 < x < 5, x \in \mathbb{Q}\}$

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**Example**

- The sets  $A=\{1,2,3\}$ ,  $B=\{2,4,6,8\}$  and  $C=\{5,7\}$
- One may choose  $U=\{1,2,3,4,5,6,7,8\}$  as a universal set.
- Any superset of  $U$  can also be considered a universal set for these sets  $A$ ,  $B$ , and  $C$ .  
For example,  $U=\{x \mid x \text{ is a positive integer}\}$

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**Cardinality of Set**

- Let  $S$  be a finite set with  $n$  distinct elements, where  $n \geq 0$ .
- Then we write  $|S|=n$  and say that the **cardinality** (or **the number of elements**) of  $S$  is  $n$ .
- Example**  
 $A = \{1, 2, 3\}$ ,  $|A|=3$   
 $B = \{a, b, c, d, e, f, g\}$ ,  $|B|=7$

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**Exercise**

- If  $M$  is finite, determine the  $|M|$ 
  - If  $M = \{1, 2, 3, 4\}$
  - If  $M = \{4, 4, 4\}$
  - If  $M = \{\}$
  - If  $M = \{\emptyset, \{\emptyset\}, \{\emptyset, \{\emptyset\}\}$

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**Power Set**

- The set of all subsets of a set  $A$ , denoted  $P(A)$ , is called the **power set of  $A$** .

$$P(A) = \{X \mid X \subseteq A\}$$

- If  $|A|=n$ , then  $|P(A)| = 2^n$

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**Example**

- $A = \{1, 2, 3\}$
- The power set of  $A$ ,  
 $P(A) = \{\emptyset, \{1\}, \{2\}, \{3\}, \{1, 2\}, \{1, 3\}, \{2, 3\}, \{1, 2, 3\}\}$
- Notice that  $|A| = 3$ , and  $|P(A)| = 2^3 = 8$

**Exercise**

- Let  $X = \{1, 2, 2, \{1\}, a\}$
- Find:
  - $|X|$
  - Proper subset of  $X$
  - Power set of  $X$

**Exercise**

- List the member of  $P(\{a, b, c, d\})$ . Which are proper subset of  $\{a, b, c, d\}$ ?

**Summary**

**How to Think of Sets**

The elements of a set do not have an ordering, hence  $\{a, b, c\} = \{b, c, a\}$

The elements of a set do not have multitudes, hence  $\{a, a, a\} = \{a, a\} = \{a\}$

All that matters is: "Is  $x$  an element of  $A$  or not?"

The size of  $A$  is thus the number of *different* elements

$x \in \mathcal{U} \rightarrow$  