

## CHAPTER 2

(Part 3)

# SEQUENCE, RECURRENCE RELATIONS & RECURSIVE ALGORITHM

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# SEQUENCE & RECURRENCE RELATIONS

# Sequence

- A sequence is a discrete structure used to represent an ordered list.
- A sequence is a function from a subset of the set integers to a set **S**. We use the notation  $a_n$  to denote the image of the integer  $n$ . We call  $a_n$  a term of the sequence.

# Example

Consider the sequence  $\{a_n\}$ , where

$$a_n = \frac{1}{n}$$

The list of the terms of this sequence, beginning with  $a_1$ , namely

$$a_1, a_2, a_3, a_4, \dots$$

start with

$$1, \frac{1}{2}, \frac{1}{3}, \frac{1}{4}, \dots$$

# Recurrence Relations

- A recurrence relations (or recurrence) for the sequence  $\{a_n\}$  is an equation that expresses  $a_n$  in terms of one or more of the previous **terms** of sequence, namely,  $a_0, a_1, a_2, \dots, a_{n-1}$ , for all integers  $n$  with  $n \geq n_0$ , where  $n_0$  is a nonnegative integer. A sequence is called a **solution** of a recurrence relation if its terms satisfy the recurrence relation.
- The information called the **initial condition(s)** for the recurrence must be provided to give enough information about the equation to get started.

# Simple Recurrence Relation

- The simplest form of a **recurrence relation** is the case where the next **term** depends only on the immediately previous term.
- For example, given an initial condition,  $a_1 = 3$ , the list of terms  $a_1, a_2, a_3, \dots$ , begin with 3, 8, 13, 18, 23,  $\dots$ , is generated from a recurrence relation defined by

$$a_n = a_{n-1} + 5, \quad n \geq 2$$

# $n$ -th Term of a Sequence

- Recurrence relation can be used to compute any  $n$ -th term of the sequence.
- Example: Given the initial condition,  $a_1$ :

$$a_n = a_{n-1} + 5, \quad n \geq 2$$

$$3, 8, 13, 18, 23, \textcolor{red}{28}, a_{n-1} + 5, \dots$$

$$a_2 = a_1 + 5, \quad 3 + 5 = 8$$

$$a_3 = a_2 + 5, \quad 8 + 5 = 13$$

$$a_4 = a_3 + 5, \quad 13 + 5 = 18$$

$$\vdots$$

$$a_6 = a_5 + 5, \quad 23 + 5 = \textcolor{red}{28}$$

# Example

Let  $\{a_n\}$  be a sequence that satisfies the recurrence relation  $a_n = a_{n-1} + 3$  for  $n = 1, 2, 3, \dots$ , and suppose that  $a_0 = 2$ . What are  $a_1$ ,  $a_2$ , and  $a_3$ ?

## Solution:

We see from the recurrence relation that,

$$a_1 = a_0 + 3 = 2 + 3 = 5. \text{ It then follows that,}$$

$$a_2 = a_1 + 3 = 5 + 3 = 8 \text{ and}$$

$$a_3 = a_2 + 3 = 8 + 3 = 11.$$



# Example

Consider the following sequence:

$$3, 9, 27, 81, 243, \dots$$

The above sequence shows a pattern:

$$3^1, 3^2, 3^3, 3^4, 3^5, \dots$$

$$a_1, a_2, a_3, a_4, a_5, \dots$$

Recurrence relation is defined by:

$$a_n = 3^n, n \geq 1$$

# Example

Given initial condition,  $a_0 = 1$  and recurrence relation:

$$a_n = 1 + 2a_{n-1} , n \geq 1$$

First few sequence are:

$$a_1 = 1 + 2(1) = 3$$

$$a_2 = 1 + 2(3) = 7$$

$$a_3 = 1 + 2(7) = 15$$

**1, 3, 7, 15, 31, 63, ...**

# Example

Given initial conditions,  $a_0 = 1$ ,  $a_1 = 2$  and recurrence relation:

$$a_n = 3( a_{n-1} + a_{n-2} ), \quad n \geq 2$$

First few sequence are:

$$a_2 = 3( 2 + 1 ) = 9$$

$$a_3 = 3( 9 + 2 ) = 33$$

$$a_4 = 3( 33 + 9 ) = 126$$

**1, 2, 9, 33, 126, 477, 1809, 6858, 26001,...**

# Example

For a photo shoot, the staff at a company have been arranged such that there are 10 people in the front row and each row has 7 more people than in the row in front of it. Find the recurrence relation and compute number of staff in the first 5 rows.

# Example 4 -Solution

Notice that the difference between the number of people in successive rows is a constant amount.

This means that the  $n_{\text{th}}$  term of this sequence can be found using:

$$a_n = a_{n-1} + 7, \quad n \geq 2 \text{ with } a_1 = 10$$

Number of staff in the first 5 rows:

$$a_1 = 10,$$

$$a_2 = a_1 + 7 \Rightarrow 10 + 7 = 17,$$

$$a_3 = a_2 + 7 \Rightarrow 17 + 7 = 24,$$

$$a_4 = a_3 + 7 \Rightarrow 24 + 7 = 31,$$

$$a_5 = a_4 + 7 \Rightarrow 31 + 7 = 38.$$

**10, 17, 24, 31, 38**

# Example

Find a recurrence relation and initial condition for

**1, 5, 17, 53, 161, 485, ...**

**However the original sequence is not.**

$$1(3)=\mathbf{3}, 5(3)=\mathbf{15}, 17(3)=\mathbf{51}, \dots$$

$$\mathbf{1, 5, 17, 53, 161, 485, \dots}$$

It appears that we always end up with 2 less than the next term.

So, the recurrence relation is defined by:

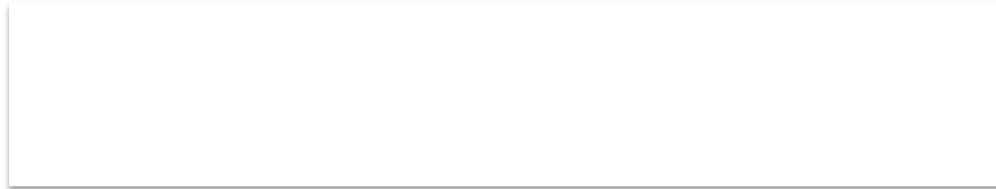
# Example

A depositor deposits RM 10,000 in a savings account at a bank yielding 5% per year with interest compounded annually. How much money will be in the account after 30 years? Let  $P_n$  denote the amount in the account after  $n$  years.



# Example - Solution

Derive the following **recurrence relation**:



Where,  $P_n$  = Current balance and  $P_{n-1}$  = Previous year balance and 0.05 is the compounding interest.

Initial condition,  $P_0 = 10,000$ . Then,



now we can use this formula to calculate  $n_{th}$  term without iteration.

Let us use this formula to find  $P_{30}$  under the initial condition  $P_0 = 10,000$ :

After 30 years, the account contains RM 43,219.42.

# Exercise # 1

Consider the following sequence:

**1, 5, 9, 13, 17**

Find the recurrence relation that defines the above sequence.

## Exercise #2

A basketball is dropped onto the ground from a height of 15 feet. On each bounce, the ball reaches a maximum height 55% of its previous maximum height.

a) Write a recursive formula,  $a_n$ , that completely defines the height reached on the  $n_{\text{th}}$  bounce, where the first term in the sequence is the height reached on the ball's first bounce.

b) How high does the basketball reach after the 4<sup>th</sup> bounce? Give your answer to two decimal places.

# Exercise #2 - Solution

# Recursion

- Recursion is a powerful, elegant and natural way to solve a large class of problems that relate to sequence/recurrence relation.
- A recursive procedure is a procedure that invokes itself.
- A recursive algorithm is an algorithm that contains a recursive procedure.

# Example

## Factorial problem

- If  $n \geq 1$ ,

$$n! = n (n - 1) \Rightarrow 2 \times 1$$

$$\text{and } 0! = 1$$

- Notice that, if  $n \geq 2$ ,  $n$  factorial can be written as,

$$\begin{aligned} n! &= n(n - 1)(n - 2) \Rightarrow 2 \times 1 \times 0 \\ &= n(n - 1)! \end{aligned}$$



# Example

- $n=5$

- $5!$

$$5! = 5 \cdot 4 \cdot 3 \cdot 2 \cdot 1 = 5 \cdot 4!$$

$$4! = 4 \cdot 3!$$

$$3! = 3 \cdot 2!$$

# Recursive Algorithm for Factorial

- Input:  $n$ , integer  $\geq 0$
- Output:  $n!$
- Factorial ( $n$ ) {  
    if ( $n=0$ )  
        return 1  
    return  $n * \text{factorial}(n-1)$   
}

# Example

- Fibonacci sequence,  $f_n$

$$f_1 = 1$$

$$f_2 = 1$$

$$f_n = f_{n-1} + f_{n-2}, \text{ for } n \geq 3$$

1, 1, 2, 3, 5, 8, 13, ....

## Recursive algorithm for Fibonacci Sequence :

- Input:  $n$
- Output:  $f(n)$
- $f(n)$  {
  - if ( $n=1$  or  $n=2$ )
  - return 1
  - return  $f(n-1) + f(n-2)$}

# Example

Consider the following arithmetic sequence:

1, 3, 5, 7, 9, .....

Suppose  $a_n$  is the term sequence. The generating rule is

$$a_n = a_{n-1} + 2, \text{ for } n \geq 1.$$

The relevant recursive algorithm can be written as

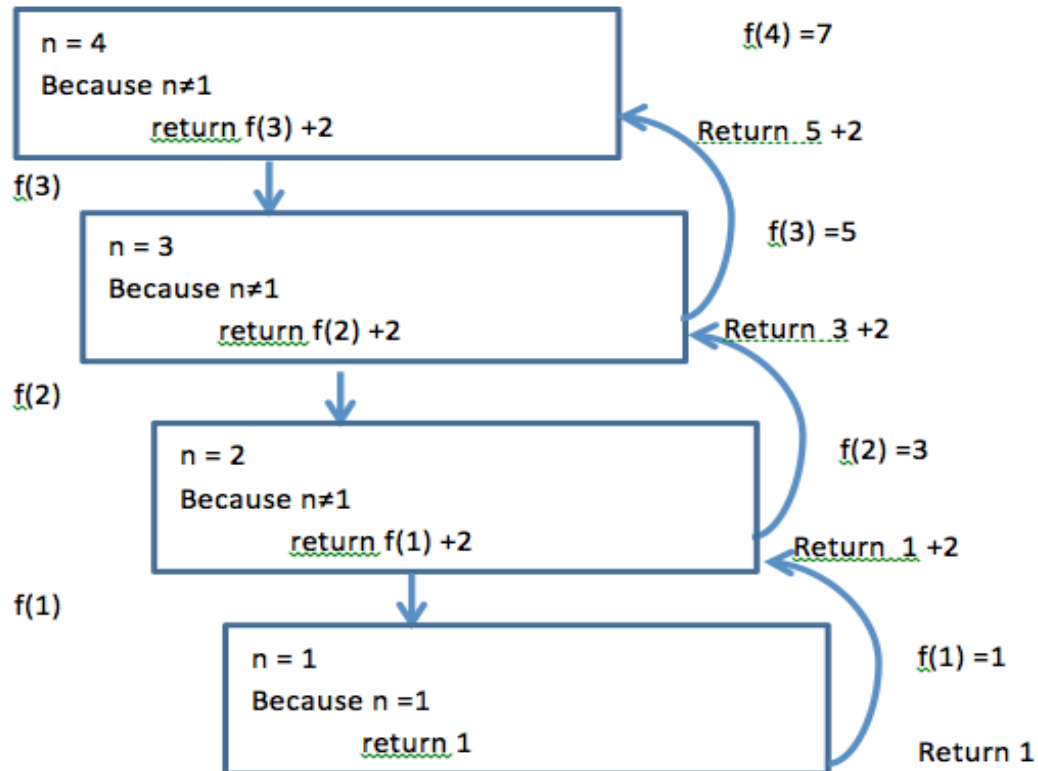
```
f(n)
{ if (n = 1)
    return 1
  return f(n-1) + 2
}
```

Use the above recursive algorithm to trace  $n = 4$ .

# Example- Solution

Trace the output if  $n = 4$

f(4)



Answer = 7